

POLICY GUIDE

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Commercial Driver's **License** Controlled Substance
and Alcohol Use Testing

Jan 19

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[See **POLICY ALERT** Nos. **130, 162 and 217**]

4219 COMMERCIAL DRIVER'S LICENSE CONTROLLED SUBSTANCE AND ALCOHOL USE TESTING

The Board of Education is committed to a safe, efficient, alcohol and drug-free workplace that protects the district's students as well as the health and safety of its employees and the general public. The Board requires all **employees of the Board** performing any safety-sensitive function **to be free of drugs and alcohol** and will test those employees who operate a commercial motor vehicle in accordance with 49 **CFR** 382 et seq. and 49 **CFR** 40 et seq. **For the purpose of this Policy "employee" means a person required to have a Commercial Driver's License (CDL) in the performance of their job responsibilities.** Safety-sensitive functions as defined by 49 **CFR** 382.107 means **any** time from the time an **employee** begins **to** work or is required to be in readiness to work until the time the **employee** is relieved from work and all responsibility for performing work. Safety-sensitive functions shall include:

1. All time at the terminal, facility, **other property, or on** any public property, waiting to be dispatched, unless relieved from duty;
2. All time inspecting equipment **as required by Federal law or otherwise inspecting, servicing, or conditioning any commercial motor vehicle, at any time;**
3. All time spent at the driving controls of a commercial motor vehicle in operation;
4. All time, other than driving time, in or upon the commercial **motor** vehicle except **time spent resting** in an area defined as a sleeping berth;
5. All time loading **or** unloading a vehicle, supervising, or assisting in the loading or unloading, attending a vehicle being loaded or unloaded, remaining in readiness to operate the vehicle, or in giving or receiving receipts for shipments loaded and unloaded;
and



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6. **All time repairing, obtaining assistance, or remaining in attendance upon a disabled vehicle.**

The Omnibus Transportation Employee Testing Act of **1991** requires all operators of commercial motor vehicles subject to the **CDL** requirements to be tested for controlled substances and alcohol. Federal regulations of the U.S. Department of Transportation require that **any employee using a CDL** be required to submit to alcohol and controlled substance testing in accordance with 49 **CFR** 40.

The Board designates the _____ (**designated official**) as the Designated Employer Representative (DER) of the Board of Education. The Board may contract with a service agent to provide the testing services as required by Federal law. **In the event the Board contracts with a service provider for transportation, the Board designee will ensure all transportation contractors comply with the drug and alcohol testing requirements of Policy 4219 pursuant to 49 CFR 382 et seq. and 49 CFR 40 et seq.**

No **employee** at any work site will possess, manufacture, use, sell, or distribute any quantity of any controlled substance, lawful or unlawful, which in sufficient quantity could result in impaired performance, with the exception of substances administered by or under the instructions of a physician. No **employee** shall perform safety-sensitive functions within four hours after using alcohol and the district will not permit an **employee** that used alcohol within four hours of performing safety-sensitive functions to perform such functions if the district has actual knowledge of the use, **in accordance with 49 CFR 392.5.**

Violations

Any violation of this **Policy** may result in discipline, up to and including termination.

Prohibited Substances

The presence of any of the controlled substances, **listed in 49 CFR 40.87**, in the body, as evidenced by the results of the initial screening and subsequent confirmatory analysis provided in **this Policy**, is prohibited for any employee assigned to a classification covered by this **Policy**. All cutoff concentrations **shall**



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be in accordance with 49 CFR 40.87. All test results shall be measured against the cutoff concentrations outlined in 49 CFR 40.87.

Testing Procedures

All testing for controlled substances will be conducted in accordance with 49 **CFR** 40, Subparts A, B, C, D, E, F, G, H and I. The district will only test for drugs or classes of drugs in accordance with 49 **CFR** 40.85. Testing for alcohol will be conducted in accordance with 49 **CFR** 40, Subparts J, K, L, M and N.

Definitions

“Alcohol **use**” means the drinking or swallowing of any beverage, liquid mixture or preparation (including medication), containing alcohol.

“**Aliquot**” means a fractional part of a specimen used for testing. It is taken as a sample representing the whole specimen.

“Confirmatory **drug test**” means a second analytical procedure performed on **an aliquot of the original specimen** to identify and quantify the presence of a specific drug or drug metabolite.

“Confirmed **drug test**” means a confirmation test **result** received by a Medical Review Officer (MRO) from a laboratory.

“Controlled substances” means those substances identified in 49 **CFR** 40.85.

“CCF” means the Federal Drug Testing Custody and Control Form.

“Designated Employer Representative (**DER**)” is an employee of the district authorized to take immediate action(s) to remove employees from safety-sensitive duties, or cause employees to be removed from these covered duties, and to make required decisions in the testing and evaluation processes. The DER shall receive test results and other communications for the employer, consistent with the requirements of this **Policy** and 49 **CFR** 40. Service agents cannot act as a DER.

“FMCSA” means Federal Motor Carrier Safety Administration.



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“Initial **drug test (also known as a “Screening drug test”)**” means the test used to differentiate a negative specimen from one that requires further testing for drugs or drug metabolites.

“Initial **specimen validity test**” means the first test used to determine if a **urine** specimen is adulterated, diluted, substituted, or **invalid**.

“Medical Review Officer (**MRO**)” is a licensed physician responsible for receiving and reviewing laboratory results generated by the district's drug testing program and evaluating medical explanations for certain drug test results.

“Possess” **includes, but is not limited to**, either in or on the driver's person, personal effects, motor vehicle, or areas substantially entrusted to the control of the driver.

“Service agent” is any person or entity, other than an employee of the Board, who provides services specified under 49 **CFR** 40 to the Board.

“Substance Abuse Professional (**SAP**)” is a person who evaluates employees who have violated a **Federal or State** drug and alcohol regulation and makes recommendations concerning education, treatment, follow-up testing, and aftercare. **An** individuals permitted to act as a **SAP** must possess the credentials as outlined in 49 **CFR** 40.281.

“Work Site” means any motor vehicle, office, building, yard, or other location at which the driver is to perform work **or any other school district property or at any school district event**.

Categories of Testing

For the purpose of this **Policy**, the occurrence of the following circumstances/instances shall require an employee to submit to a controlled substance and alcohol screening:

1. Pre-Employment Testing

An individual who has applied for and has been selected to operate a Board vehicle shall, before beginning employment with the Board, submit to a controlled substance screening in conjunction with any required physical examination as per Policy 4160. Such screening shall be conducted in accordance with the procedures set



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forth in this **Policy** and 49 **CFR** 40. No individual receiving a positive confirmed test result will be employed by the Board.

An **employer is not required to administer a controlled substances test required by 49 CFR 382.301(a) if**

- a. **The employee has** participated in a controlled substances testing program that met the requirements of 49 **CFR** 382 et seq. within the previous thirty days; and
- b. **The employee** while participating in that program either:
 - (1) Was tested for controlled substances within the past six months (from the date of application **with the employer**); or
 - (2) Participated in the random controlled substances testing program for the previous twelve months (from the date of application **with the employer**).
- c. The DER must ensure that no prior employer, to the DER's knowledge, has records of a violation of a controlled substances testing program within the previous six months.

If an individual is so exempted, the DER shall contact the controlled substances testing programs in which the **individual** participated and **shall obtain and retain from the testing program(s)** the following information in accordance with 49 **CFR** 382.301(c):

- a. Name and address of the program;
- b. Verification of the **individual's** participation;
- c. Verification that the program conforms to Federal guidelines;
- d. Verification the **individual** qualified **under the law** and did not refuse to be tested for controlled substances;
- e. The date the **individual** was last tested for controlled substances; and



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- f. The results of any tests taken within the **previous** six months and any other violations.

[Optional for Districts that Conduct Pre-Employment Alcohol Tests

In accordance with 49 **CFR** 382.301(d), the **Board** will conduct pre-employment alcohol tests before the first performance of safety-sensitive functions by a new employee or someone who has transferred to a position involving the performance of safety-sensitive functions. The alcohol test will be conducted after making a contingent offer of employment or transfer, subject to the **employee** passing the pre-employment alcohol test. All alcohol testing will be completed in accordance with 49 **CFR** 40 et seq. An employee will:

[Select one of the following:

___ not begin working unless the result of the test indicates an alcohol concentration of less than 0.04.

___ not be offered employment and/or the transfer unless the result of the test indicates an alcohol concentration of less than 0.04.]

An **employee** who has applied for and has been selected to operate a Board vehicle or any existing employee transferring into a new position **requiring the employee** to operate a Board vehicle, shall submit a written consent authorizing the **Board** to obtain the following information from other employers who have employed the employee during any period during the two years before the date of the **employee's** application or transfer into the new position. The written consent from the **employee** will permit the DER to obtain the following information from previous **Division of Transportation (DOT)**-regulated employers:

- a. Alcohol tests with a result of 0.04 or higher alcohol concentration;
- b. Verified positive drug tests;



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- c. Refusals to be tested (including verified adulterated or substituted drug test results);
- d. Other violations of DOT agency drug and alcohol testing regulations; and
- e. With respect to any employee who violated a DOT drug and alcohol regulation, documentation of the employee's successful completion of DOT return-to-duty requirements (including follow-up tests). If this information is not available from the previous employer, the DER must seek to obtain this information from the **employee**.

The DER will obtain and review this information before the employee first performs safety-sensitive functions. If this is not feasible, the DER will not permit the **employee to perform safety-sensitive functions** after thirty days from the date **the employee first performed safety-sensitive functions**, unless the DER has obtained or made and documented a good faith effort to obtain this information.

2. Random Testing

Every employee shall submit to **random** alcohol and controlled substance testing on an unannounced and random basis resulting from the selection by a random generation methodology in accordance with 49 **CFR** 383.305(i). Random testing will be spread reasonably throughout any given calendar year.

The minimum annual percentage rate for random alcohol testing shall be **ten percent** of the average number of drivers **positions**. The minimum **annual percentage** rate for random controlled substances testing shall be **twenty-five percent** of the average number of drivers **positions**. The **minimum annual percentage** rates may be adjusted as determined by the **FMCSA** Administrator in accordance with 49 **CFR** 382.305.



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Employees shall only be random tested when performing safety-sensitive functions or immediately prior to or immediately following the performance of safety-sensitive functions.

3. Post-Accident Testing

The involvement by an employee in a motor vehicle collision while operating a Board vehicle when such accident results in property damage or personal injury, may trigger a post-accident drug and alcohol test.

As soon as practical following an occurrence, the DER will require post-accident alcohol screening for each of the surviving drivers:

- a. Who was performing safety-sensitive functions with respect to a vehicle, if the accident involves the loss of human life; or
- b. Who receives a citation within eight hours of the occurrence under State or local law for a moving traffic violation arising from the accident, if the accident involved:
 - (1) Bodily injury to any person who, as a result of the injury, immediately receives medical treatment away from the scene of the accident; or
 - (2) One or more motor vehicles incurring disabling damage as a result of the accident, requiring the motor vehicle to be transported away from the scene by a tow truck or other motor vehicle.
- c. If the alcohol test is not administered within two hours following the accident, the DER will prepare and maintain on file a record stating the reasons the test was not promptly administered. If the alcohol test is not administered within eight hours following the accident, the DER shall cease attempts to administer the alcohol test and shall prepare and maintain the same record. Records shall be submitted to the FMCSA upon request.



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As soon as possible following an occurrence, the district will require post-accident controlled substance screening for each of the surviving drivers:

- a. Who was performing safety-sensitive functions with respect to a vehicle, if the accident involves the loss of human life; or
- b. Who receives a citation within thirty-two hours of the occurrence under State or local law for a moving traffic violation arising from the accident, if the accident involved:
 - (1) Bodily injury to any person; who, as a result of the injury, immediately receives medical treatment away from the scene of the accident; or
 - (2) One or more motor vehicles incurring disabling damage as a result of the accident, requiring the motor vehicle to be transported away from the scene by a tow truck or other motor vehicle.
- c. If the controlled substance test is not administered within thirty-two hours following the accident, the DER shall cease attempts to administer the controlled substance test and shall prepare and maintain **on file a record stating the reasons the test was not promptly administered.** Records shall be submitted to the FMCSA upon request.

An employee who is subject to post-accident testing shall remain readily available for such testing or **may** be deemed **by the employer** to have refused to submit for testing. (**An employee** who is injured in an accident and requires medical care, shall submit to post-accident drug and controlled substance testing by the medical care facility providing the treatment or a designee of the Board if the facility is unable to provide the testing.) Nothing herein shall be construed to prevent the **employee** from leaving the scene of the accident for the period required to obtain necessary assistance or to obtain emergency medical care.



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4. Reasonable Suspicion Testing

The DER **shall** require an **employee** to submit to an alcohol and/or controlled substance test when the **employee** is observed by a supervisor or school official who is trained in accordance with 49 **CFR** 382.603 and causes the observer to have reasonable suspicion to believe the **employee** has violated 49 **CFR** 382 et seq. Reasonable suspicion must exist to require the **employee** to undergo a test and must be based on specific, contemporaneous, articulable observations concerning the appearance, behavior, speech or body odors of the **employee**. The observations may include indications of the chronic and withdrawal effects of controlled substances.

Reasonable suspicion alcohol testing is authorized only if the required observations are made during, just preceding, or just after the period of the work day the **employee** is required to be in compliance with the testing requirements of 49 **CFR** 382 et seq.

Reasonable suspicion testing may be required of an **employee** while the **employee** is performing, just before the **employee** will perform, or just after the **employee** has ceased performing safety-sensitive functions.

If the alcohol test is not administered within two hours following the determination a reasonable suspicion test is required, the DER will prepare and maintain on file a record stating the reasons the test was not promptly administered. If the alcohol test is not administered within eight hours following the determination, the DER shall cease attempts to administer the alcohol test and shall state in the record the reasons for not administering the test.

No **employee** shall report for duty or remain on duty requiring the performance of safety-sensitive functions while the **employee** is under the influence of or impaired by alcohol, as shown by the behavioral, speech, and performance indicators of alcohol misuse. The **employee** will also not be able to perform or continue to perform safety-sensitive functions until an alcohol test is administered and the **employee's** concentration measures less than



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0.02 or twenty-four hours have elapsed following the determination that reasonable suspicion existed to require an alcohol test.

A written record of the observations leading to a reasonable suspicion test shall be made and signed by the supervisor and/or school official that made the observations. This record shall be made within twenty-four hours of the observed behavior or before the results of the test are released, whichever is earlier.

5. Return to Duty Testing

The district is not required to return an employee to a safety-sensitive position upon receipt of a confirmed drug and/or alcohol test.

The DER may recommend to the Superintendent of Schools the **employee's** employment be terminated depending on the circumstances.

The DER shall ensure that before an **employee** returns to duty requiring the performance of a safety-sensitive function, the **employee** shall undergo a return to duty alcohol test indicating a breath alcohol concentration of less than 0.02 and a controlled substances test with a result indicating a verified negative result for controlled substances use as required in 49 **CFR** 40.305.

Employees permitted to return to duty are required to take return-to-duty tests and shall be evaluated by a SAP. These **employees** must participate in an assistance program prescribed by the SAP and as required in 49 **CFR** 40 Subpart O.

The SAP will determine a written follow-up testing plan for any **employee** who has been permitted to return to work and has successfully complied with the SAP's recommendations for education and/or treatment. Such employees are subject to a minimum of six unannounced, follow-up drug screenings and alcohol tests over the following twelve months. The testing shall not exceed **forty-eight additional** months. Alcohol follow-up testing shall be performed only when the **employee** is performing



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safety-sensitive functions or immediately prior to performing or immediately after performing safety-sensitive functions. All follow-up testing will be completed in accordance with 49 **CFR** 40.307. The SAP will comply with all reporting requirements of 49 **CFR** 40.311.

The Board shall make the ultimate determination to return or not return an employee to a safety-sensitive position subject to any collective bargaining agreements, if any, or other legal requirements.

Medical Review Officer (MRO) Notifications

The Board shall employ or contract with a **MRO** who is a licensed physician (M.D. or D.O.) and shall designate the **MRO** as the individual responsible for receiving laboratory results generated by the testing program. The **MRO** shall have knowledge of **controlled** substances abuse disorders and have appropriate medical training to interpret and evaluate the **employee's** confirmed **drug test results** together with his/her medical history and other biomedical data. The **MRO** will perform all functions and responsibilities as required in 49 **CFR** 40.121.

Employer Notification

The **MRO** may report controlled substances test results to the DER by any means of communication; however, a signed, written notification must be forwarded within three business days of the completion of the **MRO's** evaluation. The **MRO** must report all drug test results to the employer. The **MRO** may use a signed or stamped and dated legible photocopy of Copy 2 of the CCF to report test results or a written report that must include, at a minimum, the information required in 49 **CFR** 40.163.

Split Specimen Tests

Split specimen testing will be conducted in accordance with 49 **CFR** 40 Subpart H. **The MRO will notify the Superintendent of Schools or designee if split specimen testing is requested by the employee.**



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Designated Collection Facility

The Board shall designate the facility to be used for the collection of the specimen; provided, however, that the designated facility shall possess all required licenses and permits. The collection site will take place in a facility meeting the requirements of 49 **CFR** 40 Subpart D. The DER will ensure the collection site meets the security requirements of 49 **CFR** 40.43.

Designated Screening Laboratory

The Board shall designate the laboratory to which collected fluid samples will be forwarded for drug/alcohol screening. Drug testing laboratories must be certified by the Department of Health and Human Services (HHS) under the National Laboratory Certification Program (NLCP) for all testing required under 49 **CFR** 40. The laboratory will perform all responsibilities as required in accordance with 49 **CFR** 40 Subpart F.

Specimens

The normal screening methodology for controlled substances shall be urinalysis, collected by a **trained** representative of the Board **with appropriate documentation** at a **site designated and approved by the Board**. The presence of alcohol will be determined by an Alcohol Screening Device (ASD) or an Evidential Breath Testing Device administered by an individual certified in accordance with 49 **CFR** 40.211 and 49 **CFR** 40.213.

Refusal to Submit

An **employee** will be deemed as refusing to take a drug test as described in 49 **CFR** 40.191. As per 49 **CFR** 40.191, an **employee** refuses to take a drug test if he/she:

1. Fails to appear for any test (except a pre-employment test) within a reasonable time, as determined by the DER, consistent with applicable DOT agency regulations, after being directed to do so by the DER;



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2. Fails to remain at the testing site until the testing process is complete. An employee who leaves the testing site before the testing process commences for a pre-employment test is not deemed to have refused to test;
3. Fails to provide a urine specimen for any drug test required by this **Policy**. An employee who does not provide a urine specimen because he or she has left the testing site before the testing process commences for a pre-employment test is not deemed to have refused to test;
4. Fails to permit the observation or monitoring of providing a specimen in the case of a directly observed or monitored collection in a drug test;
5. Fails to provide a sufficient amount of urine when directed, and it has been determined, through a required medical evaluation, that there was no adequate medical explanation for the failure;
6. Fails or declines to take an **additional drug** test the DER or collector has directed the **employee** to take;
7. Fails to undergo a medical examination or evaluation, as directed by the MRO as part of the verification process, or as directed by the DER under **49 CFR** 40.193(d). In the case of a pre-employment drug test, the **employee** is deemed to have refused to test on this basis only if the pre-employment test is conducted following a contingent offer of employment;
8. Fails to cooperate with any part of the testing process (e.g., refuses to empty pockets when so directed by the collector, behaves in a confrontational way that disrupts the collection process, **fails to wash hands after being directed to do so by the collector**);
9. **Fails to follow the collection observer(s) instructions of which could be used to interfere with the collection process;**
10. **Possesses or wears a prosthetic or other device that could be used to interfere with the collection process; or**



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- 11. Admits to the collector or MRO he/she has adulterated or substituted the specimen.**

If the MRO reports the employee had a verified adulterated or substituted test result, the result will be deemed refusal to take a drug test.

If an **employee** refuses to participate in a part of the testing process, the collector or MRO, must terminate the portion of the testing process, document the refusal on the CCF (including in the case of the collector, printing the employee's name on Copy 2 of the CCF), immediately notify the DER by any means (e.g., telephone or secure fax machine) that ensures that the refusal notification is immediately received. A referral physician (e.g., physician evaluating a "shy bladder" condition or a claim of a legitimate medical explanation in a validity testing situation) must notify the MRO, who in turn will notify the DER. In addition, the collector must note the refusal in the "Remarks" line (Step 2), and sign and date the CCF. The MRO must note the refusal by checking the "**Refused to Test**" box (Step 6) on Copy 2 of the CCF, and add the reason on the "Remarks" line. The MRO must then sign and date the CCF. When the **employee** refuses to take a non-DOT test or to sign a non-DOT form, the **employee** has not refused to take a DOT test. There are no consequences under DOT agency regulations for refusing to take a non-DOT test.

Record of Negative Screening

An employee required to submit to an alcohol and/or controlled substance screening as provided in this **Policy** and whose screening results are negative may, at their option, have their personnel file documented to reflect the negative result.

Prescription Drugs

All bus drivers shall notify the DER of the use of any prescription drugs. The Board may require certification from the prescribing physician that the use of the prescription drug will not have an adverse affect on the driver's ability to properly perform safety-sensitive functions.

Consequences to **Employees** Engaging in Prohibited Conduct

An employee whose screening produces a positive result for a prohibited substance **who is permitted to return to work:**



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1. Shall not be permitted to perform safety-sensitive functions;
2. Shall be advised by the DER of resources available to them in evaluating and resolving problems associated with the misuse of alcohol or the use of controlled substances;
3. Shall be evaluated by a **SAP** who shall determine what assistance, if any, is needed to resolve problems with alcohol or controlled substance use;
4. Undergo, before returning to duty, a return to duty alcohol test indicating a breath level of less than 0.02 if the conduct involved alcohol or a controlled substance test with a verified negative result;
5. If assistance was required, the employee must be evaluated by a **SAP** to determine that the **employee** has followed the rehabilitation program prescribed;
6. Be subject to unannounced follow-up alcohol and/or controlled substance abuse testing; **and**
7. Be subject to the disciplinary **Policy** and **Regulations** of the Board.

Return-to-Work Agreement

An employee who has **been permitted to** returned to work and who fails to comply with any of the terms of **a Return to Work Agreement, if provided at the employer's discretion**, shall be subject to **disciplinary action which may include** termination.

Maintenance and Retention of Records

The DER shall maintain and retain all records as required by Federal regulation. Records shall include at least the following:

1. Records Related to the Collection Process
 - a. Collection logbooks (if used);
 - b. Documents related to the random selection process;



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- c. Calibration documentation for Evidential Breath Testing Devices (EBT's);
 - d. Documentation of Breath Alcohol Technician (BAT) training;
 - e. Documentation of reasoning for reasonable suspicion testing;
 - f. Documentation of reasoning for post-accident testing;
 - g. Documents verifying a medical explanation for the inability to provide adequate breath or urine for testing; and
 - h. Consolidated annual calendar year summaries.
2. Records Related to the **Employee's** Test Results
 - a. Employer's copy of the alcohol test form, including results;
 - b. Employer's copy of the **controlled substance** test chain of custody and control form;
 - c. Documents sent to the employer by the **MRO**;
 - d. Documentation of any **employee's** refusal to submit to a required alcohol or controlled substance test; and
 - e. Documents provided by an **employee** to dispute results of test.
 3. Documentation of any Other Violations of Controlled Substance Use or Alcohol Misuse **Policies**
 4. Records Related to Evaluations and Training
 - a. Records pertaining to **the** SAP's determination of an **employee's** need for assistance;
 - b. Records concerning an **employee's** compliance with **the** SAP's recommendations, and records related to education and training;



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- c. Materials on drug and alcohol awareness, including a copy of the employer's policy on drug use and alcohol misuse;
 - d. Documentation of compliance with **the** requirement to provide **employees** with educational material, including **an employee's** signed receipt of materials;
 - e. Documentation of supervisor training; and
 - f. Certification that training conducted under this **Policy** complies with all requirements of the **Policy**.
5. Records Related to Drug Testing
- a. Agreements with collection site facilities, laboratories, **MROs**, and consortia;
 - b. Names and positions of officials and their role in the employer's alcohol and controlled substance testing program;
 - c. Monthly statistical summaries of urinalysis; and
 - d. The employer's drug testing policy and procedures.
6. Required Period of Retention

Document to be maintained	Period required to be maintained
Alcohol test results indicating a breath alcohol concentration of 0.02 or greater	5 Years
Verified positive controlled substance test results	5 Years
Documentation of refusals to submit to required alcohol or controlled substance tests	5 Years
Calibration documentation	5 Years



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Document to be maintained	Period required to be maintained
Records related to the administration of the alcohol and controlled substances testing program, including records of all driver violations	5 Years
Driver evaluations and referrals	5 Years
A copy of each annual calendar year summary	5 Years
Records obtained from previous employers concerning alcohol and drug testing	3 Years
Records related to the alcohol and controlled substances collection process (except calibration of evidential breath testing devices)	2 Years
Records related to negative and canceled controlled substance test results	1 Year
Alcohol test results indicating a breath alcohol concentration less than 0.02	1 Year
Records related to the education and training of breath alcohol technicians, screening test technicians, supervisors, and drivers shall be maintained by the employer while the individual performs the functions which require the training and for two years after ceasing to perform those functions	Indefinite time period

Other specific types of records shall be maintained in accordance with 49 CFR 382.401.

7. Location of Records

All required records shall be maintained in accordance with Policy 8320. Records shall be made available for inspection at the Board



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Offices within two business days after a request has been made by an authorized representative of the **FMCSA**.

8. Annual Calendar Year Summary

The DER shall prepare and maintain an annual calendar year summary of the results of its alcohol and substance abuse testing programs. The summary shall be completed no later than March 15 of each year covering the previous calendar year. The DER upon request of the **FMCSA** will provide the annual summary to that agency in the required format.

9. Employee Information Program

The Board will provide an employee information program. The DER will be responsible for implementing the program and shall ensure that each **employee** receives information in the manner specified below:

- a. By receiving a copy of this **Policy** and any subsequent revisions.
- b. **The DER will provide written notice to employees of the following information:**
 - (1) The identity of the person designated by the employer to answer **employee** questions about the materials;
 - (2) Which **employees** are subject to the alcohol misuse and controlled substance requirements;
 - (3) Explanation of what constitutes a safety-sensitive function, so as to make clear what period of the workday the **employee** is required to be in compliance;
 - (4) Specific information concerning **employee** conduct that is prohibited;



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Commercial Driver's **License** Controlled Substance
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- (5) The circumstances under which an **employee** will be tested for alcohol and/or controlled substances;
- (6) The procedures that will be used to test for the presence of alcohol and controlled substances;
- (7) The requirement that an **employee** submit to alcohol and controlled substance tests;
- (8) An explanation of what constitutes a refusal to submit to an alcohol or controlled substance test;
- (9) The consequences for **employees** found to have violated the prohibitions of this **Policy**, including the immediate removal of the **employee** from safety-sensitive functions;
- (10) The consequences for **employees** found to have an alcohol concentration level of 0.02 or greater but less than 0.04; **and**
- (11) Information concerning the effects of alcohol and controlled substances use on an individual's health, work, and personal life. Signs and symptoms of an alcohol or controlled substances problem, and available methods of intervening when an alcohol or a control substances problem is suspected, including confrontation, referral to any employee assistance program and/or referral to management.

Omnibus Transportation **Employee Testing** Act of 1991

49 **CFR** 40 et seq.

49 **CFR** 382 et seq.

49 **CFR** 395.2

Adopted:

